

**REQUEST FOR QUALIFICATIONS  
FOR RISK MANAGEMENT CONSULTANT**

**Issued by  
Mount Holly Township**

**Date Issued: September 17, 2018**

**Responses Due: November 20, 2018**

**REQUEST FOR QUALIFICATIONS (RFQ)  
FOR RISK MANAGEMENT CONSULTANT**

**I. PURPOSE AND INTENT**

Through this Request for Qualifications (RFQ), Mount Holly Township (hereinafter the “Township”) seeks to engage a vendor as Risk Management Consultant for the 2019 fund year commencing January 1, 2019 or upon appointment, whichever is later. This appointment will be awarded through a fair and open process pursuant to N.J.S.A. 19:44A-20.4, *et seq.*

**II. PROPOSAL SUBMISSION**

To be considered, a proposal for any of the above positions must be received on or before **November 20, 2018 AT 2:00 P.M.** Please submit one (1) original, one (1) unbound copy and one (1) electronic copy on a CD or USB drive in a sealed envelope marked “Submission of Qualifications/Proposal for {Name of Position}” clearly marked on the outside.

**Nikima S. Muller, RMC/MMC/QPA  
Township Clerk/Purchasing Agent  
Mount Holly Township  
Mailing Address:  
23 Washington Street  
Mount Holly, NJ 08060**

**The proposal must be received by November 20, 2018 AT 2:00 p.m.**

**Faxed or E-Mailed proposals WILL NOT be accepted.**

**Any inquiry concerning this RFQ should be directed in writing to:**

**Nikima S. Muller, RMC/MMC/QPA  
Township Clerk/Purchasing Agent  
Mount Holly Township  
Mailing Address:  
23 Washington Street  
Mount Holly, NJ 08060**

All documents/information submitted in response to this solicitation shall be available to the general public as required by the New Jersey Open Public Records Act N.J.S.A. 47: 1A-1, *et seq.* The Township will not be responsible for any costs associated with the oral or written and/or presentation of the proposals. The Township reserves the right to reject any and all proposals, with or without cause, and waive any irregularities or informalities in the proposals in accordance with the Fair and Open Public Solicitation Process for Professional Service(s), pursuant to P.L. 2004, c.19 (N.J.S.A. 19:44A-20.4, *et seq.*). The Township further reserves the right to make such investigations as it deems necessary as to the qualifications of any and all vendors submitting proposals. In the event that all proposals are rejected, the Township reserves the right to re-solicit proposals.

### **III. MINIMUM QUALIFICATIONS**

As a minimum, the applicant shall have experience as an insurance advisor for governmental entities. The Risk Management Consultant shall be a person licensed to sell insurance to public entities in the State of New Jersey and shall hold all licenses required to do so. The Risk Management Consultant shall have knowledge, training and experience in all forms of municipal insurance, including first and third party coverage, general liability, public officials, liability, property damage, automobile, flood insurance, surety, performance and maintenance bonds and all other types of insurance products necessary for the municipality. In addition, the Risk Management Consultant shall have experience with and knowledge of participation by public entities in joint insurance fund and a Joint Insurance Fund's requirement of a Risk Management Consultant as set forth in the Joint Insurance Fund's bylaws and Risk Management Plans. The Risk Management Consultant also shall have the following experience:

1. Providing advice to Township employees on matters relating to a Joint Insurance Fund's operation and coverages;
2. evaluating the Township exposure;
3. explaining to the Township the various coverage available from a Fund;
4. reviewing the Township's assessment and assisting in the preparation of the member's insurance budget;
5. reviewing the Township's losses and engineering reports and providing assistance to the member's safety committees;
6. assisting in the claims settlement process;
7. attending meetings of Fund Commissioners;
8. providing loss control and risk management/producer services, including premises survey; seasonal inspection checklist; accident investigation classes for Supervisors and other groups, including DPW workers;
9. establishing and participating in a loss control committee; and
10. comply with all terms and conditions of the proposed 2017 fund year vendor contract.

### **IV. MANDATORY CONTENTS OF PROPOSAL**

In its proposal, the firm must include the following:

1. Contact Information: Provide the name and address of the firm, the name; telephone number, fax number, and e-mail address of the individual responsible for the preparation of the proposal.
2. A statement accepting the fee schedule promulgated by the Fund per Fund year.
3. An executive summary of not more than three pages identifying and substantiating why the vendor is best qualified to provide the requested services.

4. A staffing plan listing those persons who will be assigned to the engagement if the vendor is selected, including the designation of the person who would be the vendor's officer responsible for all services required under the engagement. This portion of the proposal should include the relevant resume information for the individuals who will be assigned. This information should include, at a minimum, a description of the person's relevant professional experience, years and type of experience, and number of years with the vendor. Also include a copy of the data forms required by the Department of Banking and Insurance pursuant to N.J.A.C. 11:15 -2.6 (c) 8.
5. A description of the vendor's experience in performing services of the type described in this RFQ. Specifically identify client size and specific examples of similarities with the scope of services required under this RFQ.
6. A description of resources of the vendor (i.e., background, location, experience, staff resources, financial resources, other resources, etc.).
7. The location of the office, if other than the vendor's main office, at which the vendor proposes to perform services required under this RFQ. Describe your presence in New Jersey. Specifically, the vendor must state in its proposal whether or not the vendor is registered as a small business enterprise ("SBE") with the New Jersey Commerce and Economic Growth Commission New Jersey's Set-Aside Program.
8. Provide references including the contact names, titles and phone numbers.
9. In its proposal, the vendor must identify any existing or potential conflicts of interest, and disclose any representation of parties or other relationships that might be considered a conflict of interest with regard to this engagement, or the Township.
10. The submission shall be accompanied by (a) a Non-Collusion Affidavit, (b) a Disclosure of Ownership Form, (c) an Insurance Requirement Acknowledgement Form, (d) a Mandatory Equal Employment Opportunity Notice Acknowledgement, (e) a copy of the applicable Business Registration Certificate, (f) a Professional Services Entity Information Form, (g) a Data Form, (h) an Acknowledgement of Corrections, Additions or Deletions Form, (i) Political Contribution Form, (j) Disclosure of Investigations and Actions Involving Service Entity, and (k) Disclosure of Investment Activities in Iran.

All forms listed above (a through j) shall be completed in their entirety.

## **V. WITHDRAWING SUBMISSIONS**

Submissions forwarded to the Township Clerk/Purchasing Agent and/or her designated representative before the time of opening of submissions may be withdrawn upon written application of the professional services entity who shall be required to produce evidence showing that they are or they represent the principal or principals involved in the submission. Submissions may not be withdrawn within twenty-four (24) hours of the stipulated time of opening of submissions.

## **VI. ERRORS IN SUBMISSIONS**

If applicable, in the event there is a discrepancy between the unit prices and the extended totals, the unit prices shall govern or if between the correct sum of the extended totals and the total submission submitted, the correct sum shall govern. Amounts written in words shall govern over the amounts written in numerals.

## **VII. TIME FOR AWARD OF CONTRACT**

The contracting unit shall award the contract or reject all submissions within such time as may be specified in the invitation for submission, but in no case more than 60 days, except that the submissions of any vendor who consent thereto may, at the request of the contracting unit, be held for consideration for such longer period as may be agreed.

The Township requests an extension of the time for the award of the contract. The Township shall award the contract or reject all submissions on or about January 1, 2017 at the Township Council Reorganization meeting. By delivering a submission, the vendor consents to this extension.

The award of the Contract for this service will not be made unless the Chief Financial Officer has certified the necessary funds in a lawful manner.

## **VIII. MODIFICATIONS OF SUBMISSIONS**

Any professional services entity may modify his/her submission by mail, courier or hand delivery at any time prior to the scheduled closing time for receipt of submissions. The Township prior to the closing time, must receive such communication. The communication should not reveal the submission price but should provide the addition to or subtraction from or other modification so that the Township will not know the final price(s) or term(s) until the sealed submission is opened.

## **X. REJECTION OF SUBMISSIONS**

### **a. MULTIPLE SUBMISSIONS NOT ALLOWED**

More than one submission from an individual, a firm or partnership, a corporation or association of principals under the same or different names shall not be considered.

### **b. UNBALANCED SUBMISSIONS**

Submissions which are obviously unbalanced, may be rejected at the option of the Township.

### **c. RIGHT TO REJECT SUBMISSIONS**

The right is reserved to reject any or all submissions in whole or in part if not in compliance with the standardized submission requirements.

## **XI. INTERVIEW**

The Township reserves the right to interview any or all of the applicants submitting a proposal. Although interviews may take place, the proposal should be comprehensive and complete on its face. The Township reserves the right to request clarifying information subsequent to submission of the proposal.

## **XII. SELECTION PROCESS AND CRITERIA**

All proposals will be reviewed to determine responsiveness. Non-responsive proposals will be rejected without evaluation. For vendors that satisfy the minimum requirements, the Fund will evaluate proposals based on the following evaluation criteria, separate or combined in some manner, and not necessarily listed in order of significance:

- (a) The vendor's general approach to providing the services required under this RFQ.
- (b) The vendor's documented experience in successfully completing contracts of a similar size and scope to the engagement addressed by this RFQ.
- (c) The qualifications and experience of the vendor's management, supervisory or other key personnel assigned to the engagement, with emphasis on documented experience in successfully completing work on contracts of similar size and scope to the services required by this RFQ.
- (d) The overall ability of the vendor to mobilize, undertake and successfully complete the engagement within the timeline. This criterion will include, but not be limited to the following factors: the number and qualifications of management, supervisory and other staff proposed by the vendor to perform the services required by this RFQ; the availability and commitment to the engagement of the vendor's management, supervisory and other staff proposed; the vendor's contract management plan, including the vendor's contract organizational chart.

## **XIII. METHOD OF AWARD OF SUBMISSIONS**

The right is reserved by the Township to award submissions on a “service by service” basis, “per project” basis, in part or in whole as determined by the Township.

## **XIV. RIGHT TO WAIVE INFORMALITIES RESERVED**

The Fund expressly reserves the right to waive any informality in any submission, and to accept the submission, which in the Township’s judgment serves its best interests.

## **XV. PROFESSIONAL SERVICES ENTITY REFERRED TO LAWS**

The attention of the professional services entity is especially directed to the provisions of Federal, State, County and Local Government statutes and regulations that may apply to the work.

**XVI. PAYMENT**

Checks are processed by the Township approximately the second week of each month. It is necessary that the approved signed vouchers be accompanied by an invoice and be submitted in advance of these dates.

**XVII. TRANSITIONAL PERIOD**

In the event that a new contract has not been awarded prior to the contract expiration date, it shall be incumbent upon the professional services entity to continue the contract under the same terms and conditions until a new contract(s) can be completely operational. At no time shall this transition period extend more than ninety (90) days beyond the expiration date of the contract.

**XVIII. FACSIMILE DOCUMENTS PROVIDED IN A SUBMISSION**

Under no circumstances, on submission documents requiring authorized signatures, will the Township accept documents provided through facsimile machines.

**XIX. GENERAL REQUIREMENTS/INFORMATION**

The professional services entity shall guarantee any or all material and services supplied under these specifications. Defective or inferior items shall be replaced at the expense of the professional services entity.

It is understood by the professional services entity that this submission is provided on the basis of standardized submission requirements prepared by **Mount Holly Township** and the fact that any professional services entity is not familiar with these standardized submission requirements or conditions will not be accepted as an excuse.

***NO MINIMUM PAYMENT IS IMPLIED OR GUARANTEED.***

This solicitation is for a one (1) year contract for services, from **January 1, 2019 through December 31, 2019.**

## **STANDARDIZED SUBMISSION REQUIREMENTS & SELECTION CRITERIA**

### **(FAIR & OPEN PUBLIC SOLICITATION PROCESS FOR PROFESSIONAL SERVICES)**

Mount Holly Township is seeking sealed submissions in response to a Public Notice for the Solicitation of a Professional Service Contracts.

#### **The standardized submission requirements shall include:**

1. Names and roles of the individuals who will perform the services/tasks and descriptions of their experience with projects similar to the services contained herein including their education, degrees and certifications.
2. References and record of success of same or similar service.
3. Description of ability to provide the services in a timely fashion (including staffing, familiarity and location of key staff).
4. Cost details, per Fund year, including the hourly rates of each of the individuals who will perform services and time estimates for each individual, all expenses and total cost of “not to exceed” amount.

#### **The selection criteria to be used in awarding contracts shall include in ranked order:**

1. Qualifications of the individuals who will perform the services/tasks and the amounts of their respective participation.
2. Experience and references.
3. Ability to perform the services/tasks in a timely fashion, including staffing and familiarity with the subject matter.
4. Cost consideration - including, but not limited to, historical costs for similar professional services, expertise involved and comparable costs for comparable public entities. Statewide will primarily judge responses based on the qualifications and years of experience of the vendor and not only on hourly rate or set fees because of its belief that more experienced professionals, although commanding a higher rate, may save Statewide money by virtue of their advice and experience.

#### **Please Note this Additional Requirement:**

To be considered, a proposal for any of the above positions must be received on or before **November 29, 2017 AT 2:00 P.M.** Please submit one (1) original and one (1) unbound copy in a sealed envelope marked “Submission of Qualifications/Proposal for {Name of Position}” clearly marked on the outside.

**Mount Holly Township**

**CHECKLIST**

**PROFESSIONAL SERVICE TITLE:**

**SUBMISSION DATE:** November 29, 2017 by no later than 2:00 p.m.

*The following items, as indicated below (X), shall be provided with the receipt of sealed proposals:*

- 1. Non-Collusion Affidavit ..... \_\_\_\_\_
- 2. Disclosure of Ownership Form ..... \_\_\_\_\_
- 3. Insurance Requirement Acknowledgement Form ..... \_\_\_\_\_
- 4. Mandatory Affirmative Action Acknowledgement ..... \_\_\_\_\_
- 5. Copy of your ***Business Registration Certificate*** as issued by the State of New Jersey,  
Department of Treasury, Division of Revenue ..... \_\_\_\_\_
- 6. Professional Service Entity Information Form ..... \_\_\_\_\_
- 7. Data Form ..... \_\_\_\_\_
- 8. Acknowledgement of Corrections, Additions or Deletions Form ..... \_\_\_\_\_
- 9. Political Contribution Form..... \_\_\_\_\_
- 10. Disclosure of Investigations and Actions Involving Service Entity ..... \_\_\_\_\_
- 11. Disclosure of Investment Activities in Iran..... \_\_\_\_\_

Reminder

**Please submit one (1) original, one (1) additional unbound set and one (1) electronic copy on a CD or USB drive of the sealed submission.**

**Mount Holly Township**

**AMERICANS WITH DISABILITIES ACT OF 1990**  
**EQUAL OPPORTUNITY FOR INDIVIDUALS WITH DISABILITY**

The CONTRACTOR and the Mount Holly Township (herein referred to as "Township") do hereby agree that the provisions of Title 11 of the Americans With Disabilities Act of 1990 (the "Act") (42 U.S.C. S12101 et seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs and activities provided or made available by public entities, and the rules and regulations promulgated pursuant thereunto, are made a part of this contract. In providing any aid, benefit, or service on behalf of the Township pursuant to this contract, the CONTRACTOR agrees that the performance shall be in strict compliance with the Act. In the event the CONTRACTOR, its agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the CONTRACTOR shall defend the Township in any action or administrative proceeding commenced pursuant to this Act. The CONTRACTOR shall indemnify, protect, and save harmless the Township, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages of whatever kind or nature arising out of or claimed to arise out of the alleged violation. The CONTRACTOR shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the Township's grievance procedure, the CONTRACTOR agrees to abide by any decision of the Township, which is rendered pursuant to, said grievance procedure. If any action or administrative proceeding results in an award of damages against the Township or if the Township incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the CONTRACTOR shall satisfy and discharge the same at its own expense.

The Township shall, as soon as practicable after a claim has been made against it, give written notice thereof to the CONTRACTOR along with full and complete particulars of the claim. If any action or administrative proceeding is brought against the Township or any of its agents, servants, and employees, the Township shall expeditiously forward or have forwarded to the CONTRACTOR every demand, complaint, notice, summons, pleading, or other process received by the Township or its representatives.

It is expressly agreed and understood that any approval by the Township of the services provided by the CONTRACTOR pursuant to this contract will not relieve the CONTRACTOR of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the Township pursuant to this paragraph.

It is further agreed and understood that the Township assumes no obligation to indemnify or save harmless the CONTRACTOR, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of this Agreement. Furthermore, the CONTRACTOR expressly understands and agrees that the provisions of this indemnification clause shall in no way limit the CONTRACTOR obligations assumed in this Agreement, nor shall they be construed to relieve the CONTRACTOR from any liability, nor preclude the Township from taking any other actions available to it under any other provisions of this Agreement or otherwise at law.

(REVISED 4/10)

**EXHIBIT A**

**MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE**  
N.J.S.A. 10:5-31 et seq. (P.L. 1975, C. 127)  
N.J.A.C. 17:27

**GOODS, PROFESSIONAL SERVICE AND GENERAL SERVICE CONTRACTS**

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Except with respect to affectional or sexual orientation and gender identity or expression, the contractor will ensure that equal employment opportunity is afforded to such applicants in recruitment and employment, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Such equal employment opportunity shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex.

The contractor or subcontractor will send to each labor union, with which it has a collective bargaining agreement, a notice, to be provided by the agency contracting officer, advising the labor union of the contractor's commitments under this chapter and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to make good faith efforts to meet targeted county employment goals established in accordance with N.J.A.C. 17:27-5.2.

## EXHIBIT A (Cont)

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, and labor unions, that it does not discriminate on the basis of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the targeted employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

Letter of Federal Affirmative Action Plan Approval

Certificate of Employee Information Report

Employee Information Report Form AA302 (electronically provided by the Division and distributed to the public agency through the Division's website at [www.state.nj.us/treasury/contract\\_compliance](http://www.state.nj.us/treasury/contract_compliance))

The contractor and its subcontractors shall furnish such reports or other documents to the Division of Public Contracts Equal Employment Opportunity Compliance as may be requested by the office from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Public Contracts Equal Employment Opportunity Compliance for conducting a compliance investigation pursuant to Subchapter 10 of the Administrative Code at N.J.A.C. 17:27.

## DISCLOSURE OF INVESTIGATIONS AND ACTIONS INVOLVING BIDDER

The bidder shall provide a detailed description of any investigation, litigation, including administrative complaints or other administrative proceedings, involving any public sector client during the past five (5) years including the nature and status of the investigation, and, for any litigation, the caption of the action, a brief description of the action, the date of inception, current status, and, if applicable, disposition.

**Investigation(s)**

Indicate "NONE" if no investigations were undertaken. Attach additional pages if necessary.

| Person or Entity | Date of Inception | Brief Description | Disposition/Status (if applicable) | Bidder Contact Name and Telephone for additional information |
|------------------|-------------------|-------------------|------------------------------------|--|
|                  |                   |                   |                                    |  |
|                  |                   |                   |                                    |  |
|                  |                   |                   |                                    |  |

**Litigation/Administrative Complaints** Indicate "NONE" if no Litigation/Administrative Complaints. Attach additional pages if necessary.

| Person or Entity | Date of Inception | Caption of the Action | Brief Description of the Action | Current Status or Disposition (if applicable) | Bidder Contact Name and Telephone for additional information |
|------------------|-------------------|-----------------------|---------------------------------|---|--|
|                  |                   |                       |                                 |   |  |
|                  |                   |                       |                                 |   |  |
|                  |                   |                       |                                 |   |  |

**AFFIRMATIVE ACTION ACKNOWLEDGEMENT**

**Mount Holly Township**

Professional Service Entity acknowledges that his company is an Affirmative Action Employer and certifies compliance with all requirements.

\_\_\_\_\_  
(Name of Professional Service Entity)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Address of Professional Service Entity)

\_\_\_\_\_  
(Date)

**AFFIRMATIVE ACTION ACKNOWLEDGEMENT**

**Mount Holly Township**

**REQUIRED EVIDENCE OF AFFIRMATIVE ACTION REGULATIONS P.L. 1975 CHAPTER 127  
(N.J.A.C. 17:27)**

If awarded a contract, the Successful Professional Service Entity will be required to comply with the requirements of P.L. 1975, Chapter 127, N.J.A.C. 17:27. Prior to or at the time the Contract is submitted for signing by the Statewide Insurance Fund, the Successful Professional Service Entity shall present one (1) of the following to the Fund:

1. Appropriate evidence that the Professional Service Entity is operating under an existing federally approved or sanctioned affirmative action program; or,
2. A certificate of Employee Information Report Approval issued in accordance with N.J.A.C. 17:27-4; or,
3. An initial Employee Information Report consisting of forms provided by the Affirmative Action Office and completed by Professional Service Entity in accordance with N.J.A.C. 17:27-7.

**NO FIRM MAY BE ISSUED A CONTRACT UNLESS THEY COMPLY WITH THE AFFIRMATIVE ACTION REGULATIONS OF P.L. 1975, CHAPTER 127.**

**AFFIRMATIVE ACTION ACKNOWLEDGEMENT**

**Mount Holly Township**

The following questions must be answered by all Professional Service Entities:

1. Do you have a federally approved or sanctioned Affirmative Action Program?

YES \_\_\_\_\_ NO \_\_\_\_\_

If yes, please submit a photostatic copy of such approval.

2. Do you have a State Certificate of employee Information Report approval?

YES \_\_\_\_\_ NO \_\_\_\_\_

If yes, please submit a photostatic copy of such approval.

The undersigned Professional Service Entity certifies that he/she is aware of the commitment to comply with the requirements of P.L. 1975, Chapter 127, and agrees to furnish the required documentation pursuant to law.

COMPANY: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_

NAME/TITLE: \_\_\_\_\_

**Note: A Professional Service Entity's proposal must be rejected as non-responsive if the Professional Service Entity fails to comply with the requirements of P.L. 1975, chapter 127, within the time frame stipulated.**

## DATA FORM

(Print or Type)

Name and Address of Professional Service Entity

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In connection with the above-named company, I hereby make representations and supply information about myself as hereinafter set forth. (attach addendum or separate sheet if space hereon is insufficient to answer any question fully.) IF ANSWER IS "NONE" or "NO EXCEPTION", SO STATE.

1. Affiant's Full Name: \_\_\_\_\_
2. Other Names Used at any Time: \_\_\_\_\_
3. Date of Birth: \_\_\_\_\_ Place of Birth: \_\_\_\_\_
4. Tax Identification Number: \_\_\_\_\_
5. For the last 10 years, I have lived at the following address or addresses:

| ADDRESS | CITY | DATES |
|---------|------|-------|
|---------|------|-------|

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6. Schooling: College: \_\_\_\_\_  
Graduate: \_\_\_\_\_  
or Professional: \_\_\_\_\_  
Degree (List): \_\_\_\_\_

(ATTACH LIST OF ALL EDUCATIONAL INSTITUTIONS AND LOCATION-CITY AND STATE)

7. Member of Professional Societies or Associations (List):
- 

8. I presently hold or have held, in the past, the following professional, occupational, and vocational licenses issued by public or governmental licensing agencies or authorities (state date license issue, issuer of license, date terminated, reason for termination):
- 

9. Present Chief Occupation: \_\_\_\_\_

Position or Title: \_\_\_\_\_

Employer's Name \_\_\_\_\_

Address: \_\_\_\_\_

How long in this position? \_\_\_\_\_

How long with this employer? \_\_\_\_\_

Where? \_\_\_\_\_

10. Other jobs, positions, directorates or officerships concurrently held at present.

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11. Complete Employment record for Past 20 Years:

DATES

EMPLOYER AND ADDRESS

TITLE

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(attach further history if necessary)

12. I control directly or indirectly or own legally or beneficially 10% or more of the outstanding capital stock (in voting power) of the following companies:

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- 12a. If any of the above stock is pledged or hypothecated in any way, please detail fully:

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13. I have never been adjudicated as bankrupt, except as follows:

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14. I have never been convicted or had a sentence imposed or suspended, or had pronouncement of a sentence suspended, or been pardoned for conviction of, or pleaded guilty of an nolo contendere to an information an indictment charging a felony for embezzlement, theft or larceny, mail fraud, or violating any corporate securities statute or any insurance law, nor have I been the subject of a cease and desist order or consent order of any federal or state regulatory agency, except as follows:

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15. During the last 10 years, I have neither been refused a professional, occupational vocational license by any public or governmental licensing agency or regulatory authority, nor has such a license held by me ever been suspended or revoked, except as follows:

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16. I have never been an officer, director, key employee or controlling stockholder of a company which, while I occupied any such position or capacity with respect to it, became insolvent or was enjoined from or ordered to cease and desist from violating any law, except as follows:

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17. Neither I nor any company of which I was an officer, director or key management person at the time has ever been subject to any civil action alleging fraud, negligence or violation of any applicable racketeering statutes (state or federal), except as follows:

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18. I am not and none of the employees, officers or directors of: (name of company) \_\_\_\_\_ is an employee, officer or director of any other administrator, program manager, servicing organization or insurance producer of the Fund, nor do I or any of the employees, officers or directors of (name of company) \_\_\_\_\_ have a direct or indirect financial interest in any other administrator, program manager, servicing organization or insurance producer of the Fund, except as follows:

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18a. Any direct or indirect financial interest or any position held as employee, officer or director in any other administrator, program manager, servicing organization, or insurance producer of the Fund, as described above, has been disclosed to the fund commissioners or executive committee, as applicable. (Yes/No)  
Dated and signed this \_\_\_\_ day of \_\_\_\_ at \_\_\_\_\_.

I hereby certify under penalty of perjury that the foregoing statements are true and correct to the best of my knowledge and belief and further, by the affixation of my signature herein, I hereby give my certified consent to the New Jersey Department of Insurance to verify the representations and information supplied in response to all questions on the biographical data form, with any Federal, State, municipal or other agency which may have knowledge an/or information thereon.

\_\_\_\_\_  
(Signature of Affiant)

State of \_\_\_\_\_  
County of \_\_\_\_\_

\_\_\_\_\_ personally appeared before me, personally known to me, who, being duly sworn, deposes and says that affiant executed the above instrument and that the statements and answers contained therein are true and correct to the best of affiant's knowledge and belief.

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public

My Commission Expires \_\_\_\_\_

(SEAL)

**DATA FORM SUMMARY**

**YEAR \_\_\_\_\_**

Firm Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

1.) List all parties having or deriving any interest, right or benefit in the firm.

| <u>Name</u> | <u>Address</u> | <u>Interest</u> |
|-------------|----------------|-----------------|
| _____       | _____          | _____           |
| _____       | _____          | _____           |
| _____       | _____          | _____           |
| _____       | _____          | _____           |

2.) List all senior officers and directors who will be servicing the Fund, along with a description of professional qualifications.

| <u>Name</u> | <u>Title</u> | <u>Qualifications</u> |
|-------------|--------------|-----------------------|
| _____       | _____        | _____                 |
| _____       | _____        | _____                 |
| _____       | _____        | _____                 |
| _____       | _____        | _____                 |
| _____       | _____        | _____                 |

I hereby certify that the information on this disclosure is accurate and complete, and that I am an officer of the firm and am duly authorized to supply this information on behalf of the firm.

Signature: \_\_\_\_\_ Print: \_\_\_\_\_

Title: \_\_\_\_\_ Date: \_\_\_\_\_

**Mount Holly Township**

**ACKNOWLEDGEMENT OF CORRECTIONS, ADDITIONS AND DELETIONS FORM**

I, \_\_\_\_\_

of the firm \_\_\_\_\_

hereby acknowledge that any corrections, additions and/or deletions have been initialed and dated in this Submission Package.

\_\_\_\_\_  
*(Signature)*

\_\_\_\_\_  
*(Type or Print name of affiant and Title, under signature)*

\_\_\_\_\_  
*(Date)*





**List of Agencies with Elected Officials Required for Political Contribution Disclosure**  
**N.J.S.A. 19:44A-20.26**

**County Name:**

State: Governor, and Legislative Leadership Committees

Legislative District #s:

State Senator and two members of the General Assembly per district.

County:

Freeholders

{ County Executive }

County Clerk

Surrogate

Sheriff

Municipalities (Mayor and members of governing body, regardless of title):

**End of Submission Package**

EXHIBIT B  
Mount Holly Township

**MANDATORY EQUAL EMPLOYMENT OPPORTUNITY NOTICE**  
**(N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.)**

**GOODS, PROFESSIONAL SERVICES AND GENERAL SERVICE CONTRACTS**

This form is a summary of the successful professional service entity's requirement to comply with the requirements of **N.J.S.A. 10:5-31 et seq.** and **N.J.A.C. 17:27 et seq.**

The successful professional service entity shall submit to the Statewide Insurance Fund, after notification of award but prior to execution of this contract, one of the following three documents as forms of evidence:

- (a) A photocopy of a valid letter that the vendor is operating under an existing Federally approved or sanctioned affirmative action program (good for one year from the date of the letter);

**OR**

- (b) A photocopy of a Certificate of Employee Information Report approval, issued in accordance with N.J.A.C. 17:27-1.1 et seq.;

**OR**

- (c) A photocopy of a completed Employee Information Report (Form AA302) provided by the Division of Contract Compliance and completed by the vendor in accordance with N.J.A.C. 17:27-1.1 et seq.

The successful professional service entity may obtain the Employee Information Report (AA302) from the Statewide Insurance Fund during normal business hours.

**The undersigned professional service entity certifies that he/she is aware of the commitment to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq. and agrees to furnish the required forms of evidence.**

**The undersigned professional service entity further understands that his/her submission shall be rejected as non-responsive if said professional service entity fails to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.**

COMPANY: \_\_\_\_\_  
SIGNATURE: \_\_\_\_\_  
PRINT NAME: \_\_\_\_\_  
TITLE: \_\_\_\_\_ DATE: \_\_\_\_\_

**TOWNSHIP OF MOUNT HOLLY**  
**INSURANCE REQUIREMENTS AND ACKNOWLEDGEMENT FORM**

Certificate(s) of Insurance shall be filed with the Township Clerk's Office upon award of contract by the Township Council.

The minimum amount of insurance to be carried by the selected Professional Service Entity shall be as follows:

**Professional Liability Insurance**

Limits shall be a minimum of \$1,000,000.00 for each claim and \$1,000,000.00 aggregate each policy period.

\*Vendor / Firm shall not commence operations until Township has been furnished original certificate(s) of Insurance and certified original copies of endorsements or policies of insurance in the amounts and/or minimum coverage(s) required in this proposal.

Acknowledgement of Insurance Requirement:

\_\_\_\_\_

(Signature)

(Date)

\_\_\_\_\_

(Printed Name and Title)

**MOUNT HOLLY TOWNSHIP**

**NON-COLLUSION AFFIDAVIT**

State of New Jersey  
County of \_\_\_\_\_

ss:

I, \_\_\_\_\_ residing in \_\_\_\_\_  
(name of affiant) (name of municipality)  
in the County of \_\_\_\_\_ and State of \_\_\_\_\_ of full age, being duly  
sworn according to law on my oath depose and say that:

I am \_\_\_\_\_ of the firm of \_\_\_\_\_  
(title or position) (name of firm)

\_\_\_\_\_ the Professional Service Entity making the submission for

the service entitled \_\_\_\_\_, and that I executed the said proposal with  
(Name of Professional Service)

full authority to do so that said; that said Professional Service Entity has nott, directly or indirectly entered into any agreements, participated in any collusion, or otherwise taken any action in restraint of fair and open competition in connection with the above named service; and that all statements contained in said submission and in this affidavit are true and correct, and made with full knowledge that the Township of Mount Holly relies upon the truth of the statements contained in said submission and in the statements contained in this affidavit in awarding the contract for said Service.

I further warrant that no person or selling agency has been employed or retained to solicit or secure such contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except bona fide employees or bona fide established commercial or selling agencies maintained by:

\_\_\_\_\_  
Name of Professional Service Entity

Subscribed and sworn to before me this day

This \_\_\_\_\_ day \_\_\_\_\_ of \_\_\_\_\_, 20\_\_\_\_\_

\_\_\_\_\_

Notary public, State of \_\_\_\_\_

\_\_\_\_\_  
(Signature of Professional)

My Commission expires \_\_\_\_\_

\_\_\_\_\_  
(Type or Print name of affiant and Title under signature)

(Seal)